HSE 2020 Regulatory Model

Purpose of the paper
1. To inform the Board of changes to the Enforcement Policy Statement (EPS), as approved by the Management Board on 24th February 2016.

Background
2. HSE is legally required to make changes to the EPS to comply with the Regulators’ Code (the Code) and the growth duty (the Duty). Such changes as are required to discharge these obligations have been incorporated into a revised EPS at Annex A, for publication as soon as possible.
3. There are no fundamental policy changes to the established enforcement policy position because it already strongly reflects the need for proportionality in our enforcement decisions. The changes to the EPS will make HSE’s compliance with both the Code and the Duty more explicit and more visible to stakeholders.

Argument
4. Because of the centrality of the EPS in our regulatory philosophy and strategy there is a suite of ancillary documentation and website material which flows from the EPS. This has been reviewed and we have removed or replaced out-of-date material identified on HSE’s website to reflect the new Code.
5. Although these actions will ensure we have discharged our legal obligations, we have concluded that we need to carry out a more fundamental refresh of the suite of relevant documentation. This project will also contribute to the HSE review of complaints and appeals procedures.
6. The project will also improve access the HSE website by streamlining existing documents removing duplication and further refreshing the web pages. This will give a clearer portrait of how HSE as a modern regulator approaches its duties through a wide range of intervention and influencing techniques

Devolved Administrations
7. Any changes will need to reflect the enforcement arrangements in Scotland.

Action
8. For information only.